105985918a (CA)U: 1616

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | Application Number | | 10598591 | |
|---|---------------------------|--|------------------------|--|
| INFORMATION BIOCH COURT | Filing Date | | 2006-09-05 | |
| INFORMATION DISCLOSURE | First Named Inventor Jorg | | Hinrich Fechner et al. | |
| STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Art Unit | | | |
| (notice capillosis and of criticals) | Examiner Name | | | |
| | Attorney Docket Number | | SAW0034 | |

| | U.S.PATENTS Remove | | | | | | | | | |
|---|--------------------|---------------------------|---------------------------|---------------------|---|--|--|--|--|--|
| | | | | 0.5.1 | ATENTO | | | | | |
| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear | | | | |
| /E.A./ | 1 | 5212122 | | 1993-05-18 | Pannhorst et al. | | | | | |
| /E.A. | 2 | 6846760 | | 2005-01-25 | Siebers et al. | | | | | |
| If you wis | h to ac | dd additional U.S. Paten | t citatio | n information pl | ease click the Add button. | Add | | | | |
| | | | U.S.P. | ATENT APPLIC | CATION PUBLICATIONS | Remove | | | | |
| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear | | | | |
| /E.A./ | 1 | 20020001604 | | 2002-01-03 | Shigeru et al. | | | | | |
| /E.A./ | 2 | 20050069592 | | 2005-03-31 | Fechner et al. | | | | | |
| /E.A./ | 3 | 20050176573 | | 2005-08-11 | Thoma et al. | | | | | |
| /E.A./ | 4 | 20060142413 | | 2006-06-29 | Zimmer et al. | | | | | |
| If you wis | h to ac | dd additional U.S. Publis | hed Ap | plication citation | n information please click the Add | ld button. Add | | | | |
| /Ernst Arnold/ FOREIGN PATENT DOCUMENTS 11/21/2008 Remove | | | | | | | | | | |

(Not for submission under 37 CFR 1.99)

| Application Number | | 10598591 | 10598591 - GAU: 1616 |
|------------------------|------|-----------------|----------------------|
| Filing Date | | 2006-09-05 | |
| First Named Inventor | Jorg | Hinrich Fechner | et al. |
| Art Unit | | | |
| Examiner Name | | • | |
| Attorney Docket Number | | SAW0034 | |

| Examiner Initial* | Cite No | Foreign Document Number ³ | Country Code ² j | Kind Code ⁴ | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear | Т5 |
|---|------------------|---|--------------------------------|---------------------------|---------------------|---|---|----|
| /E.A./ | 1 | 2001048595 | JP | | 2001-02-20 | Hiroaki et al. | | |
| 000000000000000000000000000000000000000 | 2 | 8-217492 | JP | | 1996-08-27 | Tomoharu et al. | | |
| 000000000000000000000000000000000000000 | 3 | 11-060277 | JP | | 1999-03-02 | Takahiro et al. | | |
| 0.0000000000000000000000000000000000000 | 4 | 11-278866 | JP | | 1999-10-12 | Yoshinori et al. | | |
| 0,0000000000000000000000000000000000000 | 5 | 7-048142 | JP | | 1995-02-21 | Yasushi et al. | | |
| 000000000000000000000000000000000000000 | 6 | 11-319042 | JP | | 1999-11-24 | Atsushi et al. | | |
| 200000000000000000000000000000000000000 | 7 | 2000-053451 | JP | | 2000-02-22 | Keijiro et al. | | |
| | 8 | 2001-026466 | JP | | 2001-01-30 | Akimitsu et al. | | |
| 0.000 | 9 | 10-158037 | JP | | 1998-06-16 | Hiromi et al. | | |
| /E.A | ./ ₁₀ | 10-059788 /Ernst Arnold/ | JP | | 1998-03-03 | Hideki et al. 1/21/2008 | | |

(Not for submission under 37 CFR 1.99)

| Application Number | | 10598591 | 10598591 - GAU: 1616 |
|------------------------|------|-----------------|----------------------|
| Filing Date | | 2006-09-05 | |
| First Named Inventor | Jorg | Hinrich Fechner | et al. |
| Art Unit | | | |
| Examiner Name | | | |
| Attorney Docket Number | | SAW0034 | |

| | | | | | | 1 | 1 | | _ |
|---|--|---|--------------------|---|-----------------------|---|---|---|------------|
| | _11 | 10 017 701 | DE | 200000000000000000000000000000000000000 | 2001-10-25 | Friedrich et al | 000000000000000000000000000000000000000 | 000000000000000000000000000000000000000 | 000000000 |
| | | | | | | | | | |
| | 12 | 10 309 826 | DE | | 2004-09-23 | Frank et al. | | *************************************** | |
| | | | | | | | | | |
| 000000000000000000000000000000000000000 | 2000 0 00000000 | 000040000000400040000000000000000000000 | | | 1987-05-00 | 000000000000000000000000000000000000000 | 000000000000000000000000000000000000000 | 000000000000000000000000000000000000000 | 0000000000 |
| | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | 0 220 333 | | | 1907-03-00 | Pannnorst | | | |
| /E.A./ | 14 | 1 270 527 | EP | | 2003-01-02 | Hiroaki et al. | | | |
| /E.A./ | 15 | WO 03/018495 | WO | | 2003-03-06 | Fechner et al. | | | |
| /E.A./ | 16 | WO 2004/076369 | wo | | 2004-09-10 | Zimmer et al. | | | |
| /E.A./ | 17 | 1 449 816 | EP | | 2004-08-25 | Albach | | | |
| If you wish | n to a | ⊥ dd additional Foreign | Patent Documen | t citation | information p | lease click the Add butto | n Add | | |
| | | | NON-PATE | NT LITE | RATURE DO | CUMENTS | Remove | | |
| Examiner Initials* | Cite No | | urnal, serial, sym | posium, c | atalog, etc), (| the article (when approp date, pages(s), volume-is | | | T5 |
| | | | | | | | | | + |

/Ernst Arnold/

11/21/2008

(Not for submission under 37 CFR 1.99)

| Application Number | | 10598591 | 10598591 - GAU: 1616 |
|------------------------|------|-----------------|----------------------|
| Filing Date | | 2006-09-05 | |
| First Named Inventor | Jorg | Hinrich Fechner | et al. |
| Art Unit | | | |
| Examiner Name | | • | |
| Attorney Docket Number | | SAW0034 | |

| EXAMINER SIGNATURE | | | | | |
|---|---|---|--------|--|--|
| Examiner Signature | | Date Considered | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | |
| Standard ST.3). ³ For Japa | O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office anese patent documents, the indication of the year of the reign of the Empappropriate symbols as indicated on the document under WIPO Standard on is attached. | peror must precede the serial number of the patent docu | ıment. | | |

(Not for submission under 37 CFR 1.99)

| Application Number | | 10598591 | 10598591 - GAU: 161 | 16 |
|------------------------|------|--------------------|---------------------|----|
| Filing Date | | 2006-09-05 | | |
| First Named Inventor | Jorg | Hinrich Fechner et | al. | |
| Art Unit | | | | |
| Examiner Name | | | | |
| Attorney Docket Number | | SAW0034 | | |

| | | CERTIFICATION | STATEMENT | | | | |
|---------------------|---|---|---|---|--|--|--|
| Plea | ase see 37 CFR 1 | .97 and 1.98 to make the appropriate selection | on(s): | | | | |
| | That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1). | | | | | | |
| OR | ! | | | | | | |
| | That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2). | | | | | | |
| | See attached cer | rtification statement. | | | | | |
| | Fee set forth in 3 | 7 CFR 1.17 (p) has been submitted herewith | | | | | |
| √ | None | | | | | | |
| | ignature of the ap n of the signature. | SIGNAT plicant or representative is required in accord | | 3. Please see CFR 1.4(d) for the | | | |
| Sigr | nature | /John F. Hoffman/ | Date (YYYY-MM-DD) | 2006-10-05 | | | |
| Nan | Name/PrintJohn F. HoffmanRegistration Number26280 | | | | | | |
| publ 1.14 app | lic which is to file (I. This collection i lication form to the | mation is required by 37 CFR 1.97 and 1.98. (and by the USPTO to process) an applications estimated to take 1 hour to complete, include USPTO. Time will vary depending upon the is form and/or suggestions for reducing this b | n. Confidentiality is goverr ding gathering, preparing a e individual case. Any com | ned by 35 U.S.C. 122 and 37 CFR and submitting the completed amount of time you | | | |

Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.